Insight Grants Funding Opportunity

September 2016
Presentation Outline:

- Updates
- Joint Initiatives
- Insight Grants Objectives and Features
- Eligibility Overview
- Committee Structure
- Adjudication Overview
- Application Preparation
- Competition statistics
UPDATES
What’s **NEW** this year?

- Department of National Defence (DND) Joint Initiative

- Applicants select their preferred adjudication committee
Department of National Defence
Joint Initiative

- NEW Joint Initiative with DND

- supports social sciences research and related activities pertaining to military personnel readiness, organizational and operational effectiveness, and human effectiveness in modern operations

- DND will undertake a relevancy review for proposals recommended for funding
DND Target Areas

1. Enabling sustained and effective military organizational culture change

2. Developing trust in diverse organizational teams and in cross-cultural settings

3. Trust in autonomous intelligent systems

More information available on DND website:
Sport Participation Research Initiative

- Joint Initiative with Sport Canada
- **Funding:** $7,000 - $250,000 over 3 years (max $100,000/year)
- Supports research on matters related to enhancing participation in sport
- Applications adjudicated first by IG committees (March 2017)
SPRI Target Areas - UPDATED

1. Participation: increases the number and diversity of athletes, volunteers, coaches, officials and leaders.


3. Values and ethics: safe, ethical and values-based design and delivery of sport policies, programs and services.

4. Major games and events: impacts and outcomes for communities and Canadians as legacies (social, cultural, economic) of major games and events.

5. Economic and social development: sport for development outcomes in Canadian communities.

For more info, contact: Chantal Meda at chantal.meda@sshrc-crsh.gc.ca
Societal Implications of Disruptive Innovation in Genomics

- Joint Initiative with Genome Canada

- Initiative focuses on understanding the societal implications of genomic innovations characterized as “disruptive”

- Genome Canada will do a review of relevancy for proposals recommended for funding.

More information available on Genome Canada website.
Canada Foundation for Innovation (CFI)

- Infrastructure funding opportunity for SSH researchers
- Support via CFI’s John R. Evans Leaders Fund
- Joint adjudication between SSHRC and CFI.

See the CFI website for more information.
INSIGHT
GRANTS
Insight Grants - Objectives

- supports research
- provides opportunities for training future researchers
- contributes to advancement of theory / methodology
- supports disciplinary and multidisciplinary research activities
- promotes knowledge mobilization
Insight Grants - Features

- **Applicant:** Principal Investigator and / or a team
- **Duration:** 3 - 5 years
- **Value:** $7,000 - $400,000 (max $100,000 per year)
- **Funding:** No separate envelope for Emerging Scholars
- **One-stage application process**

**October 17, 2016 at 8PM (ET)** Formal Application (check internal deadlines)
ELIGIBILITY
OVERVIEW
Eligibility Verification

- Active IG awards - Multiple Applications
- Budget (if >30% ineligible expenses)
- Postdoctoral researcher status
- Subject Matter Eligibility
- Primary activity
- Coapplicant affiliation
- Canadian primary affiliation
Multiple Applications

Applicants may **NOT** apply - as Principal Investigator - for an Insight Grant **AND** an Insight Development Grant **within** the same calendar year.

This means:

- A researcher who applied for an Insight Development Grant in February 2016 **may not** apply for an Insight Grant in October 2016.

- A researcher who applies for an Insight Grant in October 2016, **may** apply for an Insight Development Grant in February 2017, provided that the objectives of the research are significantly different.
Multiple Applications (cont’d)

Grant Retention:

- No limit to the number of applications on which a researcher may be listed as a co-applicant or collaborator.
- Only one grant of a particular type at a time as PI.

Re-application:

- If you have a grant, you may re-apply to the same funding opportunity in its final year (or in the extension year).

Please refer to SSHRC’s Regulations Regarding Multiple Applications for more information.
Subject Matter Eligibility

- SSHRC does not support research that is primarily health-related

- For eligibility-related advice, applicants may send a summary (including the proposed objectives) to the IG mailbox.

For further information, see the Subject Matter Eligibility Guidelines.
Research Tools

- SSHRC supports tools that are relatively small (maximum $100K)

- SSHRC does NOT support:
  - stand-alone tools that do not include a research component
  - capital investments in large research tools / infrastructure

- Requests up to $7,000 for research tools may be included in any application without need to refer to Guidelines

- For requests between $7,000 and $100,000, see the Guidelines.

- NOTE: Projects whose primary objective is the digitization of a collection or the creation of a database are not eligible for funding.

- See Innovation.ca for other funding for research tools
COMMITTEE STRUCTURE
## Committee Structure

<table>
<thead>
<tr>
<th>Insight Grants Committees</th>
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<tbody>
<tr>
<td>01</td>
<td>Philosophy</td>
</tr>
<tr>
<td>02</td>
<td>History</td>
</tr>
<tr>
<td>03</td>
<td>Fine Arts, Research-Creation</td>
</tr>
<tr>
<td>04</td>
<td>Literature</td>
</tr>
<tr>
<td>05</td>
<td>Medieval, classics, religious studies</td>
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<tr>
<td>07</td>
<td>Economics</td>
</tr>
<tr>
<td>08</td>
<td>Sociology, demography and related fields</td>
</tr>
<tr>
<td>09</td>
<td>Geography, urban planning and related fields</td>
</tr>
<tr>
<td>10</td>
<td>Psychology</td>
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<tr>
<td>11</td>
<td>Political science and public administration</td>
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<tr>
<td>12</td>
<td>Education and social work</td>
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<tr>
<td>13</td>
<td>Anthropology and archaeology</td>
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<tr>
<td>14</td>
<td>Business, management, industrial relations and related fields</td>
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<tr>
<td>15</td>
<td>Linguistics and translation</td>
</tr>
<tr>
<td>16</td>
<td>Communications, media studies, gender studies, library and information science, related fields</td>
</tr>
<tr>
<td>17</td>
<td>Law and criminology</td>
</tr>
<tr>
<td>21</td>
<td>Aboriginal research</td>
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<tr>
<td>22</td>
<td>Multidisciplinary Humanities</td>
</tr>
<tr>
<td>23</td>
<td>Multidisciplinary Social Sciences</td>
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</table>
Multidisciplinary Applications

- Multidisciplinary Humanities
- Multidisciplinary Social Sciences

- No justification page: Discipline & keywords are important

- Relevant expertise may also be sought from larger pool of Insight Grants committee membership
Research-Creation

- Approach to research that combines creative and academic research practices.

- Researchers, artist-researchers and teams of researchers & artists

- Committee 3: Fine Arts & Research-Creation

- Consult the Resource Centre for information on preparing an application involving research-creation.
Aboriginal Research at SSHRC

In May 2015, SSHRC launched initiatives to support and promote Aboriginal research and talent development.

1. Aboriginal research statement of principles will serve to guide the implementation of SSHRC’s current and future activities.

2. A revised definition of Aboriginal research clarifies research “by and with” Aboriginal Peoples and emphasizes and values the existing strengths, assets and knowledge systems of Aboriginal Peoples and communities.

3. Guidelines for the Merit Review of Aboriginal Research are intended to assist committee members in interpreting SSHRC’s specific Challenge, Feasibility and Capability evaluation criteria in the context of Aboriginal research.
IG Aboriginal Research Committee

- All academic fields, as well as domains of knowledge specific to FNIM cultural traditions
- Respectful research involving both aboriginal and non-aboriginal perspectives
- Research by and with Aboriginal Peoples
- Multidisciplinary committee composed of Aboriginal and non-Aboriginal members; all specialists in Aboriginal research
- For more info, contact Patricia MacCaughan at patricia.maccaughan@sshrc-crsh.gc.ca
ADJUDICATION OVERVIEW
Committee Membership

- Expertise drawn primarily from the academic community, as well as the public, private, and/or not-for-profit sectors, as appropriate.

- Number of committee members is a function of number of applications received.
  - Members assigned an equitable and reasonable distribution of work.

- Representation in terms of:
  - university size
  - language
  - geographical region
  - gender
Adjudication Components

- **External Assessors**
  - 2 assessments per application, where possible
  - Suggested Assessors
  - Provide additional information to committee
  - Committee factors in their opinions as they judge appropriate

- **Committees**
  - 2 - 3 Readers
Adjudication Process

- Calibration exercise
- Submission of preliminary scores by Readers
- Preliminary ranking of applications
- Flagging process for applications ranked in the bottom 35%
- Committee discussion
- Final ranking of applications
Evaluation Criteria & Scoring

Challenge (40%): Aim and importance of the endeavour

Feasibility (20%): The plan to achieve excellence

Capability (40%): The expertise to succeed

<table>
<thead>
<tr>
<th>Note</th>
<th>Description</th>
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<tbody>
<tr>
<td>5 - 6</td>
<td>Very good - excellent</td>
</tr>
<tr>
<td>4 - 4.9</td>
<td>Good - very good</td>
</tr>
<tr>
<td>3 - 3.9</td>
<td>Satisfactory - good</td>
</tr>
<tr>
<td>Below 3</td>
<td>Unsatisfactory</td>
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</tbody>
</table>

*No weighting assigned to sub criteria.*
SSHRC Response to Increasing Budget Pressure

- Maximum total $400K
- Maximum per year $100K
- Principle of *minimum essential funding*
- Closer scrutiny of budgets during meetings
- Committees redistribute funds from cuts to other projects they are responsible for
Budget Evaluation

- Increase in number of applications and budgets requested = declining success rate for Insight Grants

- Ensure proposed budgets are appropriate and well-justified

- **Automatic** failure on Feasibility if 50% or more of request insufficiently justified and/or not appropriate
Open Access costs

- Policy allows for flexibility in compliance routes
- Article Processing Charges are an eligible use of grant funds
- GREEN & GOLD options
- Many journals allow for depositing in a repository at no cost
Open Access costs (cont’d)

- Applicants should be precise and provide justification for the amount requested.

- Consult journal website for up-to-date estimates of the costs associated with publishing open access.

- Consult the Tri-Agency Open Access Policy On Publications:
  
  http://www.science.gc.ca/default.asp?lang=En&n=75F21A63-1
Feedback:

- Applicants will receive the following information in their results packages:
  - Notice of Decision
  - Letter of Award (if the application was funded)
  - External assessments received
  - Committee Evaluation Form (if the application was discussed)
  - Competition statistics
APPLICATION PREPARATION
General Considerations

- Consider the objectives for the Insight program
- Consult SSHRC’s eligibility guidelines
- Complete the online application form in accordance with the instructions
General Considerations

CV(s)

- Categorize according to instructions
- Avoid “inflating” the CV
- Include significant career interruptions / special circumstances
Previous Critiques

- Response to Previous Critiques
  - Opportunity to justify a choice made with regard to research plan

Summary

- Summary of Proposed Research
  - Important introduction; be clear, thorough, use accessible language (may be used by media if funded)
Detailed Description

- provide clear and precise objectives
- include a complete and up-to-date literature review
- outline theoretical / conceptual framework
- ensure methodology makes sense in terms of objectives and personnel involved
Knowledge Mobilization Plan

- Be creative and ambitious
- Provide justification
- Where feasible, reach out to the community or public
- See Guidelines for Effective KM
Description of team / student training plans

- Demonstrate the need for the team
- Describe each person’s role and justify their inclusion in the research
- A student’s work must be meaningful
- Refer to Guidelines for Effective Research Training
Budget and Budget Justification
(part of Feasibility Score)

- Automatic failure on Feasibility if 50% or more of request insufficiently justified and/or not appropriate

- Option of failure if 30% or more deemed inappropriate

- Be reasonable and justify proposed expenditures

- Relate clearly to research objectives and methodology

- Describe other sources of funding

- See Tri-council Financial Administration Guide
Submitting Your Application

START EARLY IF YOU HAVE A TEAM!

- Co-applicants must:
  1. Create and verify their SSHRC CV
  2. Accept the invitation
  3. Upload their Research Contributions (PDF)

- Collaborators must:
  1. Fill out a profile
  2. Accept invitation
Submitting your application

- Leave enough time... *remember internal deadlines*

- Complete all mandatory fields

- Attach all mandatory electronic uploads to the application

- **VERIFY AND PREVIEW YOUR APPLICATION!**
Submitting your application

- Click the "Submit" button (i.e., Submit to Research Administrator) for institutional approval

- Institutions forward applications to SSHRC

**NOTE:** PhD students and postdoctoral researchers may submit their applications directly to SSHRC.

**FOR RGOS:** only 1 application per researcher allowed (the system will allow more than 1 application to be submitted)
## IG Competition Statistics

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<thead>
<tr>
<th></th>
<th>2014-15</th>
<th>2015-16</th>
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<tbody>
<tr>
<td><strong>Number of Committees</strong></td>
<td>28</td>
<td>23</td>
</tr>
<tr>
<td><strong>Number of eligible applications</strong></td>
<td>1,991</td>
<td>1,703</td>
</tr>
<tr>
<td><strong>Overall success rate</strong></td>
<td>23.4%</td>
<td>31.1%</td>
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<tr>
<td><strong>Requested amount</strong></td>
<td>$ 416,060,412</td>
<td>$ 334,831,455</td>
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<tr>
<td><strong>Awarded amount</strong></td>
<td>$ 81,895,282</td>
<td>$80,060,232</td>
</tr>
</tbody>
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Useful Links

Guidelines

Subject Matter Eligibility
Definition of Terms
Tools for Research
Research Training
Knowledge Mobilization
Regulations Regarding Multiple Applications

Further Information

Insight Grants
Future Challenge Areas
Research Creation
(Resource Center)
Aboriginal Research
- Statement of Principles
- Guidelines for Merit Review
Competition Statistics
Tri-Agency Financial Admin Guide

Joint Initiatives
Sports Participation Research Initiative
Genome Canada
Canadian Foundation for Innovation

NOTE: To access the webpage, right-click and click ‘Open hyperlink’
Assistance

Insight Grants
Tel.: 613-996-6976
Email: insightgrants@sshrc-crsh.gc.ca

Technical Support
Tel.: 613-995-4273
Email: webgrant@sshrc-crsh.gc.ca

Questions?