



Social Sciences and Humanities  
Research Council of Canada

Conseil de recherches en  
sciences humaines du Canada

SSHRC  CRSH

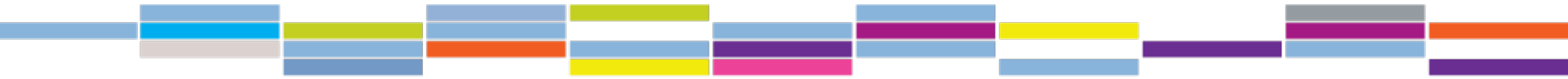
# Insight Grants Funding Opportunity

September 2016



# Presentation Outline:

- ❖ Updates
- ❖ Joint Initiatives
- ❖ Insight Grants Objectives and Features
- ❖ Eligibility Overview
- ❖ Committee Structure
- ❖ Adjudication Overview
- ❖ Application Preparation
- ❖ Competition statistics



# UPDATES

## What's *NEW* this year?

- ❖ Department of National Defence (DND) Joint Initiative
- ❖ Applicants select their preferred adjudication committee



# JOINT INITIATIVES



## Department of National Defence Joint Initiative

- ❖ **NEW** Joint Initiative with DND
- ❖ supports social sciences research and related activities pertaining to military personnel readiness, organizational and operational effectiveness, and human effectiveness in modern operations
- ❖ DND will undertake a relevancy review for proposals recommended for funding



## DND Target Areas

1. Enabling sustained and effective military organizational culture change
2. Developing trust in diverse organizational teams and in cross-cultural settings
3. Trust in autonomous intelligent systems

More information available on DND website:

<http://www.drdc-rddc.gc.ca/en/partnerships-partenariats/academia.page>

# Sport Participation Research Initiative

- ❖ Joint Initiative with Sport Canada
- ❖ **Funding:** \$7,000 - \$250,000 over 3 years (max \$100,000/year)
- ❖ Supports research on matters related to enhancing participation in sport
- ❖ Applications adjudicated first by IG committees (March 2017)





## SPRI Target Areas - UPDATED

- 1. Participation:** increases the number and diversity, of athletes, volunteers, coaches, officials and leaders.
- 2. System performance:** effective performance of the sport system's structures, processes and interventions.
- 3. Values and ethics:** safe, ethical and values-based design and delivery of sport policies, programs and services.
- 4. Major games and events:** impacts and outcomes for communities and Canadians as legacies (social, cultural, economic) of major games and events.
- 5. Economic and social development:** sport for development outcomes in Canadian communities.

For more info, contact: Chantal Meda at [chantal.meda@sshrc-crsh.gc.ca](mailto:chantal.meda@sshrc-crsh.gc.ca)

# Societal Implications of Disruptive Innovation in Genomics

- ❖ Joint Initiative with Genome Canada
- ❖ Initiative focuses on understanding the societal implications of genomic innovations characterized as “disruptive”
- ❖ Genome Canada will do a review of relevancy for proposals recommended for funding.

More information available on [Genome Canada website](#).

# Canada Foundation for Innovation (CFI)

- ❖ Infrastructure funding opportunity for SSH researchers
- ❖ Support via CFI's John R. Evans Leaders Fund
- ❖ Joint adjudication between SSHRC and CFI.

See the [CFI website](#) for more information.



# INSIGHT GRANTS

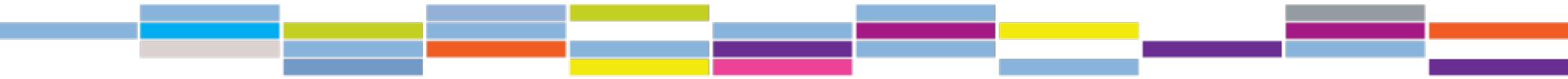
# Insight Grants - Objectives

- ❖ supports research
- ❖ provides opportunities for training future researchers
- ❖ contributes to advancement of theory / methodology
- ❖ supports disciplinary and multidisciplinary research activities
- ❖ promotes knowledge mobilization

# Insight Grants - Features

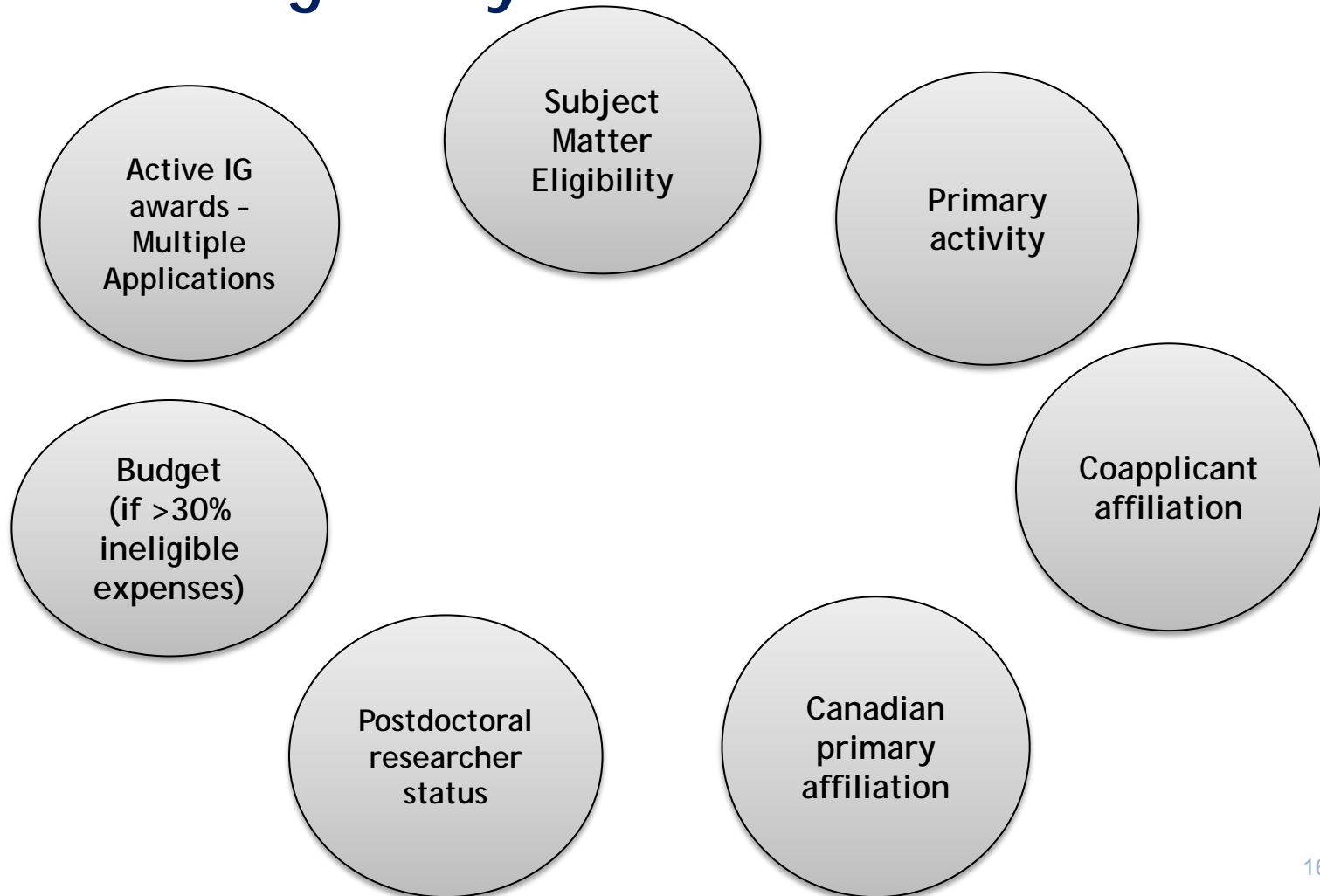
- ❖ Applicant: Principal Investigator and / or a team
- ❖ Duration: 3 - 5 years
- ❖ Value: \$7,000 - \$400,000 (max \$100,000 per year)
- ❖ Funding: No separate envelope for Emerging Scholars
- ❖ One-stage application process

October 17, 2016 at 8PM (ET) Formal Application (check internal deadlines)



# ELIGIBILITY OVERVIEW

# Eligibility Verification





# Multiple Applications

Applicants may NOT apply - as Principal Investigator - for an Insight Grant AND an Insight Development Grant **within the same calendar year.**

This means:

- ❖ A researcher who applied for an Insight Development Grant in February 2016 *may not* apply for an Insight Grant in October 2016.
- ❖ A researcher who applies for an Insight Grant in October 2016, *may* apply for an Insight Development Grant in February 2017, provided that the objectives of the research are significantly different.



## Multiple Applications (cont'd)

### Grant Retention:

- ❖ No limit to the number of applications on which a researcher may be listed as a co-applicant or collaborator.
- ❖ Only one grant of a particular type at a time as PI.

### Re-application:

- ❖ If you have a grant, you may re-apply to the same funding opportunity in its final year (or in the extension year).

Please refer to SSHRC's Regulations Regarding Multiple Applications for more information.

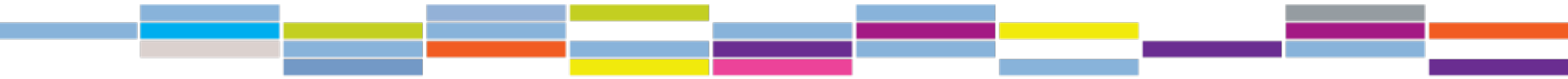
# Subject Matter Eligibility

- ❖ SSHRC does not support research that is primarily health-related
- ❖ For eligibility-related advice, applicants may send a summary (including the proposed objectives) to the IG mailbox.

For further information, see the [Subject Matter Eligibility Guidelines](#).

# Research Tools

- ❖ SSHRC supports tools that are relatively small (maximum \$100K)
- ❖ SSHRC does NOT support:
  - stand-alone tools that do not include a research component
  - capital investments in large research tools / infrastructure
- ❖ Requests **up to \$7,000** for research tools may be included in any application without need to refer to Guidelines
- ❖ For requests **between \$7,000 and \$100,000**, [see the Guidelines.](#)
- ❖ **NOTE:** Projects whose primary objective is the digitization of a collection or the creation of a database are not eligible for funding.
- ❖ See [Innovation.ca](http://Innovation.ca) for other funding for research tools



# COMMITTEE STRUCTURE

# Committee Structure

Insight Grants Committees	
01	Philosophy
02	History
03	Fine Arts, Research-Creation
04	Literature
05	Medieval, classics, religious studies
07	Economics
08	Sociology, demography and related fields
09	Geography, urban planning and related fields
10	Psychology
11	Political science and public administration
12	Education and social work
13	Anthropology and archaeology
14	Business, management, industrial relations and related fields
15	Linguistics and translation
16	Communications, media studies, gender studies, library and information science, related fields
17	Law and criminology
21	Aboriginal research
22	Multidisciplinary Humanities
23	Multidisciplinary Social Sciences

# Multidisciplinary Applications

- ❖ Multidisciplinary Humanities
- ❖ Multidisciplinary Social Sciences
- ❖ No justification page: Discipline & keywords are important
- ❖ relevant expertise may also be sought from larger pool of Insight Grants committee membership

# Research-Creation

- ❖ Approach to research that combines creative and academic research practices.
- ❖ Researchers, artist-researchers and teams of researchers & artists
- ❖ Committee 3: Fine Arts & Research-Creation
- ❖ Consult the Resource Centre for information on preparing an application involving research-creation.





# Aboriginal Research at SSHRC

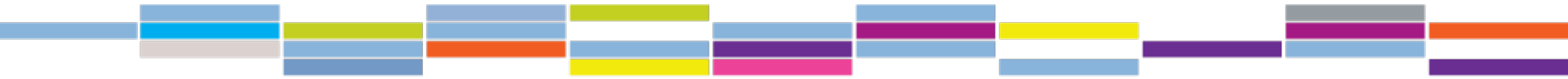
In May 2015, SSHRC launched initiatives to support and promote Aboriginal research and talent development.

1. Aboriginal research [statement of principles](#) will serve to guide the implementation of SSHRC's current and future activities.
2. A revised definition of [Aboriginal research](#) clarifies research "by and with" Aboriginal Peoples and emphasizes and values the existing strengths, assets and knowledge systems of Aboriginal Peoples and communities.
3. [Guidelines for the Merit Review of Aboriginal Research](#) are intended to assist committee members in interpreting SSHRC's specific Challenge, Feasibility and Capability evaluation criteria in the context of Aboriginal research.



# IG Aboriginal Research Committee

- ❖ All academic fields, as well as domains of knowledge specific to FNIM cultural traditions
- ❖ Respectful research involving both aboriginal and non-aboriginal perspectives
- ❖ Research *by* and *with* Aboriginal Peoples
- ❖ Multidisciplinary committee composed of Aboriginal and non-Aboriginal members; all specialists in Aboriginal research
- ❖ For more info, contact Patricia MacCaughan at [patricia.maccaughan@sshrc-crsh.gc.ca](mailto:patricia.maccaughan@sshrc-crsh.gc.ca)



# ADJUDICATION OVERVIEW

# Committee Membership

- ❖ Expertise drawn primarily from the academic community, as well as the public, private, and/or not-for-profit sectors, as appropriate.
- ❖ Number of committee members is a function of number of applications received.
  - Members assigned an equitable and reasonable distribution of work.
- ❖ Representation in terms of:
  - university size
  - geographical region
  - language
  - gender



# Adjudication Components

## ❖ External Assessors

- 2 assessments per application, where possible
- Suggested Assessors
- Provide additional information to committee
- Committee factors in their opinions as they judge appropriate

## ❖ Committees

- 2 - 3 Readers

# Adjudication Process

- ❖ Calibration exercise
- ❖ Submission of preliminary scores by Readers
- ❖ Preliminary ranking of applications
- ❖ Flagging process for applications ranked in the bottom 35%
- ❖ Committee discussion
- ❖ Final ranking of applications

# Evaluation Criteria & Scoring

Challenge (40%): Aim and importance of the endeavour

Feasibility (20%): The plan to achieve excellence

Capability (40%): The expertise to succeed

Note	Description
5 - 6	Very good - excellent
4 - 4.9	Good - very good
3 - 3.9	Satisfactory - good
Below 3	Unsatisfactory

\*No weighting assigned to sub criteria.

## SSHRC Response to Increasing Budget Pressure

- ❖ Maximum total \$400K
- ❖ Maximum per year \$100K
- ❖ Principle of *minimum essential funding*
- ❖ Closer scrutiny of budgets during meetings
- ❖ Committees redistribute funds from cuts to other projects they are responsible for



# Budget Evaluation

- ❖ Increase in number of applications and budgets requested = declining success rate for Insight Grants
- ❖ Ensure proposed budgets are appropriate and well-justified
- ❖ Automatic failure on Feasibility if 50% or more of request insufficiently justified and/or not appropriate

## Open Access costs

- ❖ Policy allows for flexibility in compliance routes
- ❖ Article Processing Charges are an eligible use of grant funds
- ❖ **GREEN** & **GOLD** options
- ❖ Many journals allow for depositing in a repository at no cost



## Open Access costs (cont'd)

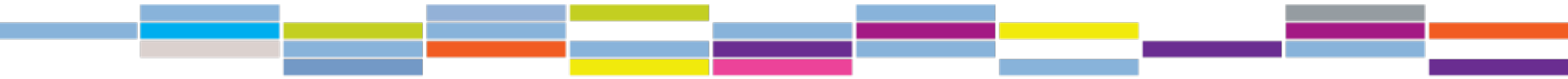
- ❖ Applicants should be precise and provide justification for the amount requested
- ❖ Consult journal website for up-to-date estimates of the costs associated with publishing open access
- ❖ Consult the Tri-Agency Open Access Policy On Publications :

<http://www.science.gc.ca/default.asp?lang=En&n=75F21A63-1>



# Feedback:

- ❖ Applicants will receive the following information in their results packages:
  - Notice of Decision
  - Letter of Award (if the application was funded)
  - External assessments received
  - Committee Evaluation Form (if the application was discussed)
  - Competition statistics



# APPLICATION PREPARATION

# General Considerations

- ❖ Consider the objectives for the Insight program
- ❖ Consult SSHRC's eligibility guidelines
- ❖ Complete the online application form in accordance with the instructions

# General Considerations

## ❖ CV(s)

- Categorize according to instructions
- Avoid “inflating” the CV
- Include significant career interruptions / special circumstances



# Previous Critiques

- ❖ Response to Previous Critiques
  - Opportunity to justify a choice made with regard to research plan

# Summary

- ❖ Summary of Proposed Research
  - Important introduction; be clear, thorough, use accessible language (may be used by media if funded)



# Detailed Description

- ❖ provide clear and precise objectives
- ❖ include a complete and up-to-date literature review
- ❖ outline theoretical / conceptual framework
- ❖ ensure methodology makes sense in terms of objectives and personnel involved

# Knowledge Mobilization Plan

- ❖ Be creative and ambitious
- ❖ Provide justification
- ❖ Where feasible, reach out to the community or public
- ❖ See Guidelines for Effective KM



# Description of team / student training plans

- ❖ Demonstrate the need for the team
- ❖ Describe each person's role and justify their inclusion in the research
- ❖ A student's work must be meaningful
- ❖ Refer to Guidelines for Effective Research Training



# Budget and Budget Justification (part of Feasibility Score)

- ❖ Automatic failure on Feasibility if 50% or more of request insufficiently justified and/or not appropriate
- ❖ Option of failure if 30% or more deemed inappropriate
- ❖ Be reasonable and **justify** proposed expenditures
- ❖ Relate clearly to research objectives and methodology
- ❖ Describe other sources of funding
- ❖ See *Tri-council Financial Administration Guide*

# Submitting Your Application

**START EARLY IF YOU HAVE A TEAM!**

- ❖ Co-applicants must:
  1. Create and verify their SSHRC CV
  2. Accept the invitation
  3. Upload their Research Contributions (PDF)
  
- ❖ Collaborators must:
  1. Fill out a profile
  2. Accept invitation

# Submitting your application

- ❖ Leave enough time... *remember internal deadlines*
- ❖ Complete all mandatory fields
- ❖ Attach all mandatory electronic uploads to the application
- ❖ **VERIFY AND PREVIEW YOUR APPLICATION!**

## Submitting your application

- ❖ Click the "Submit" button (i.e., Submit to Research Administrator) for institutional approval
- ❖ Institutions forward applications to SSHRC

*NOTE:* PhD students and postdoctoral researchers may submit their applications directly to SSHRC.

*FOR RGOS: only 1 application per researcher allowed (the system will allow more than 1 application to be submitted)*

# IG Competition Statistics

	2014-15	2015-16
Number of Committees	28	23
Number of eligible applications	1,991	1,703
Overall success rate	23.4%	31.1%
Requested amount	\$ 416,060,412	\$ 334,831,455
Awarded amount	\$ 81,895,282	\$80,060,232

<http://www.sshrc-crsh.gc.ca/results-resultats/stats-statistiques/index-eng.aspx>





# Useful Links

## Guidelines

[Subject Matter Eligibility](#)

[Definition of Terms](#)

[Tools for Research](#)

[Research Training](#)

[Knowledge Mobilization](#)

[Regulations Regarding Multiple Applications](#)

## Joint Initiatives

[Sports Participation Research Initiative](#)

[Genome Canada](#)

[Canadian Foundation for Innovation](#)

## Further Information

[Insight Grants](#)

[Future Challenge Areas](#)

[Research Creation](#)

[\(Resource Center\)](#)

[Aboriginal Research](#)

[- Statement of Principles](#)

[- Guidelines for Merit Review](#)

[Competition Statistics](#)

[Tri-Agency Financial Admin Guide](#)

NOTE: To access the webpage, right-click and click 'Open hyperlink'

# Assistance

## Insight Grants

Tel.: 613-996-6976

Email: [insightgrants@sshrc-crsh.gc.ca](mailto:insightgrants@sshrc-crsh.gc.ca)

## Technical Support

Tel.: 613-995-4273

Email: [webgrant@sshrc-crsh.gc.ca](mailto:webgrant@sshrc-crsh.gc.ca)

# Questions?